

# Statement of Financial Interests for 2009



PERSONAL INFORMATION		
<b>First Name and Middle initial:</b>	<b>Last Name:</b>	
John W	Hetherington	
<b>Street Address 1:</b>	<b>Street Address 2:</b>	
697 Valley Road		
<b>City:</b>	<b>State:</b>	<b>Zip:</b>
New Canaan	CONNECTICUT	06840
<input type="checkbox"/> If you are claiming an exemption from disclosure for this property under FOIA		
<b>Phone Number:</b>	<b>Email Address:</b>	
(860) 240-0459	John.Hetherington@housegop.ct.gov	

OCCUPATION	
<input type="checkbox"/> Current Dual Employment	
<b>Current State Position:</b>	<b>Membership:</b>
Connecticut General Assembly	Representative - Republican
<b>Name of District:</b>	
125	
<input type="checkbox"/> If you have held a different state position or left state service in 2009	
<input type="checkbox"/> Previous Dual Employment	
<b>Previous State Position:</b>	<b>Membership:</b>
<b>Name of Agency:</b>	

**SPOUSE**

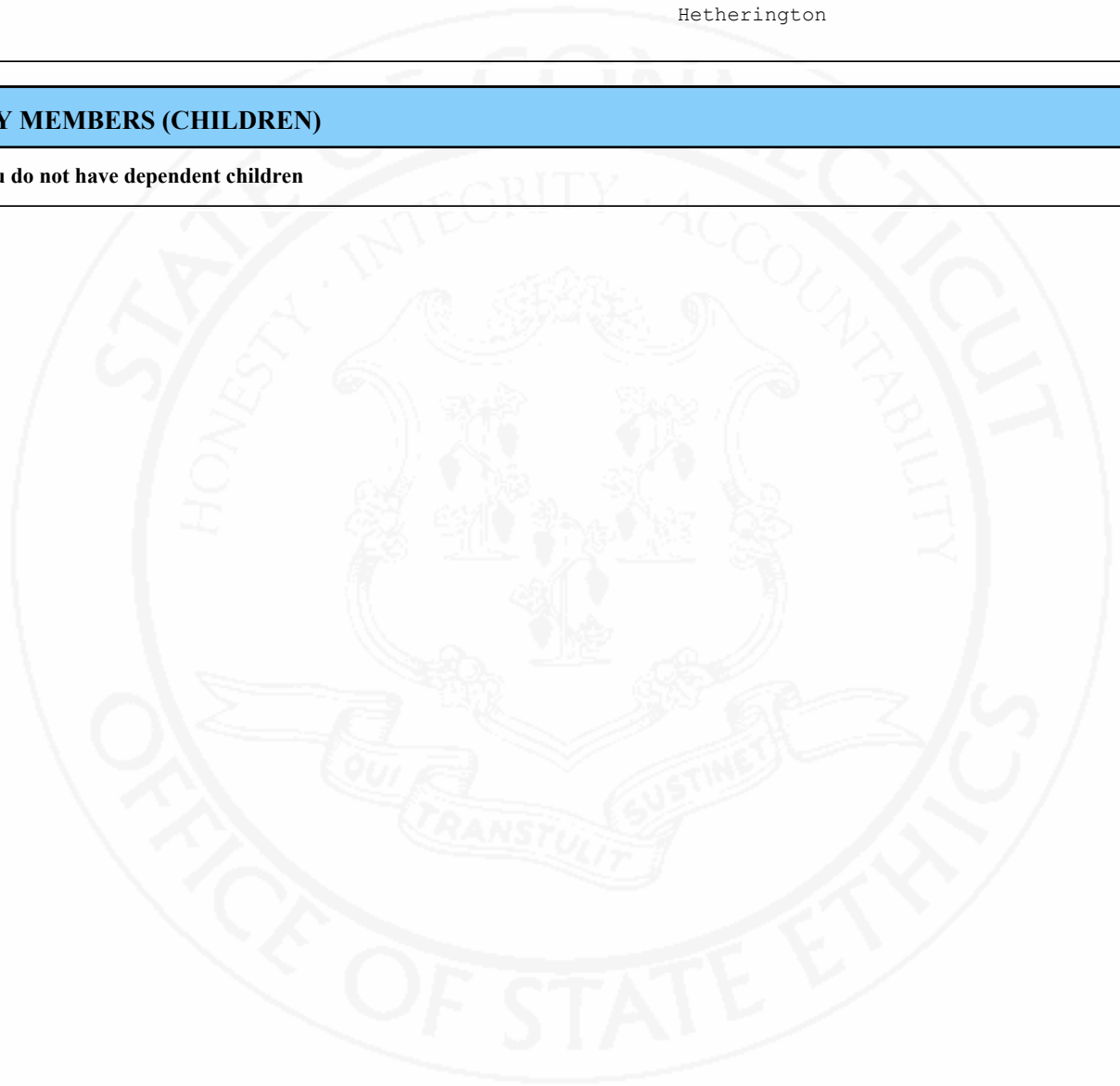
If you do not have a Spouse

Spouse First Name and Initial: Spouse Last Name:

Hope L Hetherington

**FAMILY MEMBERS (CHILDREN)**

If you do not have dependent children



**REAL PROPERTY**

If you do not own a property

If you are claiming an exemption from disclosure for this property under FOIA

**Street Address 1:**

**Street Address 2:**

697 Valley Road

**City:**

**State:**

**Zip:**

New Canaan

CONNECTICUT

06840

**Country:**

**Owner or Beneficiary:**

John W. Hetherington

**Held Directly?:**

**Held By:** Note: If the property is not held directly, please list the corporation, partnership, or trust that holds the property for the benefit of you, your spouse, or dependent children residing with you.

If you are claiming an exemption from disclosure for this property under FOIA

**Street Address 1:**

**Street Address 2:**

77 Cove Road

**City:**

**State:**

**Zip:**

Vineyard Haven

MASSACHUSETTS

02568

**Country:**

**Owner or Beneficiary:**

Hope/John Hetherington

**Held Directly?:**

**Held By:** Note: If the property is not held directly, please list the corporation, partnership, or trust that holds the property for the benefit of you, your spouse, or dependent children residing with you.

**BUSINESSES**

**BUSINESS (WITH WHICH ASSOCIATED)**

If you are not associated with any business

**Name of Business:**

The Lupus Foundation

**Street Address 1:**

330 Seventh Avenue

**Street Address 2:**

**City:**

New York

**State:**

NEW YORK

**Zip:**

10001

**Nature of Business:**

Medial Research- Charity

**Nature of Interest:**

Director

**Interest Held By:**

Spouse

**Business Affiliation**

**Category of Affiliated Business/Individual:**

No affiliation

**BUSINESSES**

**TRUSTS**

If you are not part of a trust

**Name of Trust:**

David L. Luke Tr. fbo Jane Timm, et al.

**Name of Trustee(s):**

John W. Hetherington

**Nature of Business:**

Educational Trust

**Nature of Interest:**

Sole Trustee

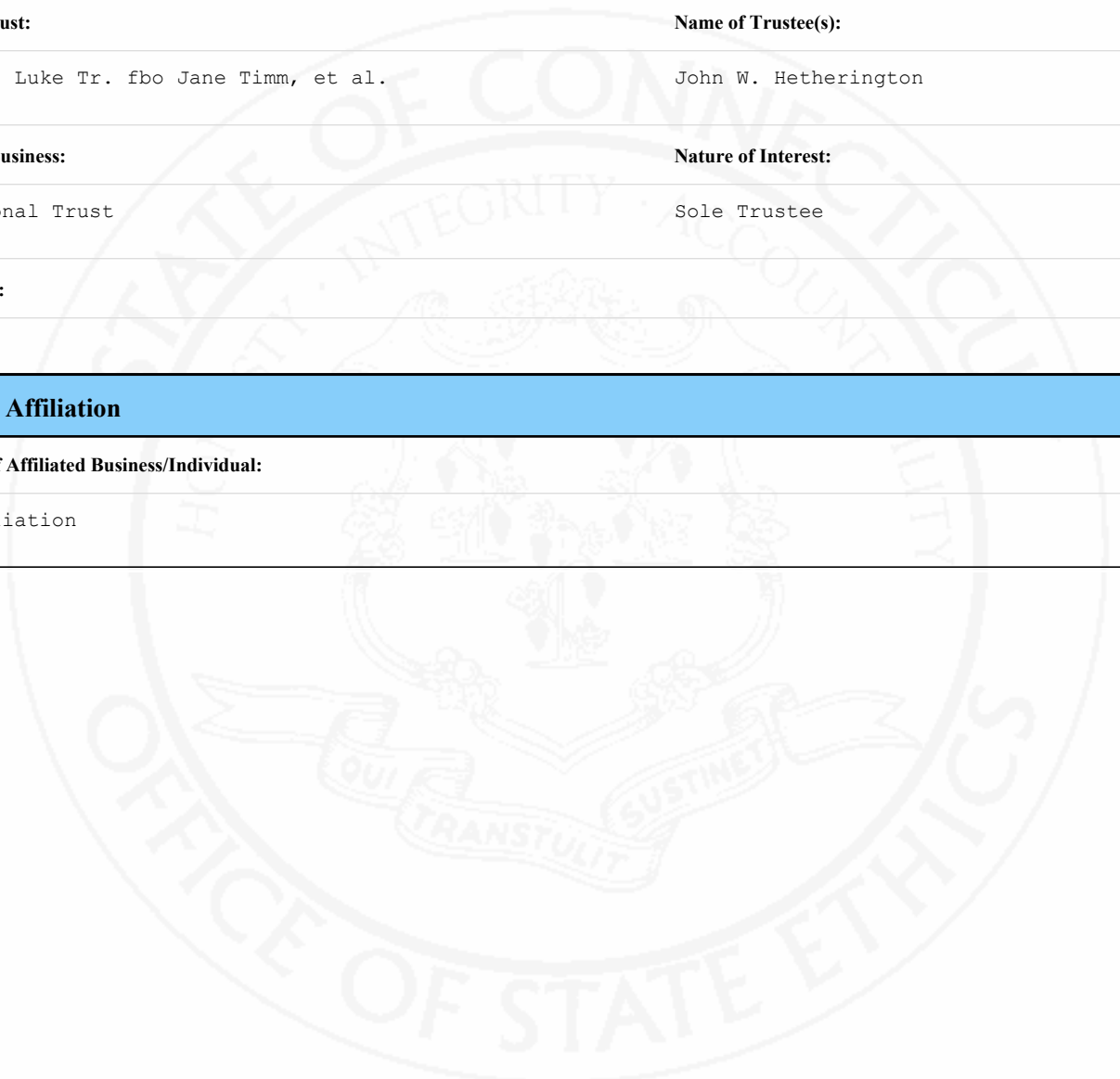
**Beneficiary:**

Self

**Business Affiliation**

**Category of Affiliated Business/Individual:**

No affiliation



## INCOME SOURCES

If you do not have a Income Source(s)

**Description of Source:**

Pension

**Name of Employer or Other Source:**

Meadwestvaco Corporation

**Recipient:**

Self

**Description of Source:**

Social Security

**Name of Employer or Other Source:**

Social Security System

**Recipient:**

Self

**Description of Source:**

Salary/Wages

**Name of Employer or Other Source:**

State of Connecticut

**Recipient:**

Self

**Description of Source:**

Pension

**Name of Employer or Other Source:**

Department of Defense

**Recipient:**

Self

<b>Description of Source:</b>
Legal Fees
<b>Name of Employer or Other Source:</b>
Rucci, Burnham, Carta & Carello.LLP
<b>Recipient:</b>
Self
<b>Description of Source:</b>
trustee fee
<b>Name of Employer or Other Source:</b>
Paul A. Shackelford, Jr. Trust
<b>Recipient:</b>
Self
<b>Description of Source:</b>
Dividends
<b>Name of Employer or Other Source:</b>
Northwest Mutual
<b>Recipient:</b>
Self
<b>Description of Source:</b>
Interest
<b>Name of Employer or Other Source:</b>
Northwest Mutual
<b>Recipient:</b>
Self

<b>Description of Source:</b>
IRA Distribution
<b>Name of Employer or Other Source:</b>
Northwest Mutual
<b>Recipient:</b>
Self
<b>Description of Source:</b>
Interest
<b>Name of Employer or Other Source:</b>
Fidelity Investments
<b>Recipient:</b>
Self
<b>Description of Source:</b>
Dividends
<b>Name of Employer or Other Source:</b>
Fidelity Investments
<b>Recipient:</b>
Self
<b>Description of Source:</b>
Capital Gains
<b>Name of Employer or Other Source:</b>
Fidelity Investments
<b>Recipient:</b>
Self

**BLIND TRUST**

If you do not have a Blind Trust(s)

## SECURITIES

If you had no interest in securities with a fair market value in excess of \$5,000 at any time during the calendar year

**Security Name:**

GMAC Notes

**Owner or beneficiary if held by another:**

Self

**Held Directly?:**

**Held By:**

NW Mutual

Note: If the Security is not held directly, list the trustee, corporation, etc.

**Security Name:**

Nationsbank Sub Bond

**Owner or beneficiary if held by another:**

Self

**Held Directly?:**

**Held By:**

Northwsst Mutual

Note: If the Security is not held directly, list the trustee, corporation, etc.

**Security Name:**

Credit Suisse First Boston Note

**Owner or beneficiary if held by another:**

Self

**Held Directly?:**

**Held By:**

Northwest Mutual

Note: If the Security is not held directly, list the trustee, corporation, etc.

<b>Security Name:</b>	
Verizon - Bonds	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name:</b>	
Eli Lilly Notes	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name:</b>	
Goldman Sach's Group	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name:</b>	
General Electric Cap. internotes	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.

<b>Security Name :</b>	
BP PLC ADR	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
Colgate Palmolive Co Common	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
Exxon Mobile Corp common	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Nutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
GENERAL ELECTRIC CO COM	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.

<b>Security Name :</b>	
Hewlett Packard Company	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
IBM Common	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
Kraft Foods Inc.	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
Kraft Food Inc	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.

<b>Security Name :</b>	
Pepsico Common	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
PROCTOR & GAMBLE CO COM	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
American High Income Trust Class A	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
American High Income Class F	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.

**Security Name:**

Connecticut Higher Education Bonds

**Owner or beneficiary if held by another:**

Self

**Held Directly?:**

**Held By:**

Northwest Mutual

Note: If the Security is not held directly, list the trustee, corporation, etc.

**Security Name:**

Connecticut Ser A 5.250% 06/15/16

**Owner or beneficiary if held by another:**

Self

**Held Directly?:**

**Held By:**

Northwest Mutual

Note: If the Security is not held directly, list the trustee, corporation, etc.

**Security Name:**

Monroe CT 4.800% 05/15/18 Bond

**Owner or beneficiary if held by another:**

Self

**Held Directly?:**

**Held By:**

Northwest Mutual

Note: If the Security is not held directly, list the trustee, corporation, etc.

**Security Name:**

Franklin Income Fund Class A

**Owner or beneficiary if held by another:**

Self

**Held Directly?:**

**Held By:**

Northwest Mutual

Note: If the Security is not held directly, list the trustee, corporation, etc.

<b>Security Name :</b>	
Bond Fd. Amer. C1 F-1	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
Fundamental Investors Fund (AFIFX)	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
iShares Russell 2000 Growth	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
iShares Cohen & Steers Realty	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.

<b>Security Name:</b>	
ishares Russell Midcap Growth	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name:</b>	
ishares Russell Midcap Value	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name:</b>	
Amer Euro Pacific Growth AEGFX	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name:</b>	
iShares Russell 2000 Value	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.

<b>Security Name:</b>	
Bond Fd. Amer. CI A	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name:</b>	
Connecticut ST GO BDDS 2006 Series D	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name:</b>	
Conn ST Gen Rev Bds 10/01/2018	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name:</b>	
US Treas. Nts (TIPS) 07/15/2019	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.

<b>Security Name :</b>	
Chevron Corporation	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
AT&T Co	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
ABT	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
AEP	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.

<b>Security Name :</b>	
Hudson Bank Corp	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
KMP	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
MSFT	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
MORGAN STANLEY	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.

<b>Security Name :</b>	
FFVFX	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
FGLDX	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
Caterpillar Inc.	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
Johnson & Johnson (stock)	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.

<b>Security Name :</b>	
NSRGY	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
Pfizer	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
Fed Home Ln Bk	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
Boeing Capital Corp Notes	
<b>Owner or beneficiary if held by another:</b>	
Self	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Northwest Mutual	Note: If the Security is not held directly, list the trustee, corporation, etc.

<b>Security Name :</b>	
Meadwestvaco Stock	
<b>Owner or beneficiary if held by another:</b>	
Spouse	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
Fidelity Income II	
<b>Owner or beneficiary if held by another:</b>	
Spouse	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
Fidelity Spartan US EQ Index	
<b>Owner or beneficiary if held by another:</b>	
Joint	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	
Fidelity Magellan	
<b>Owner or beneficiary if held by another:</b>	
Spouse	
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
Fidelity	Note: If the Security is not held directly, list the trustee, corporation, etc.

<b>Security Name :</b>	Fidelity Select Consumer Staples Portfolio
<b>Owner or beneficiary if held by another:</b>	Spouse
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	Fidelity
	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	ADP Inc
<b>Owner or beneficiary if held by another:</b>	Spouse
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	Fidelity
	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	American Century Ultra
<b>Owner or beneficiary if held by another:</b>	Spouse
<input checked="" type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	
	Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>	American Mutual Fund A
<b>Owner or beneficiary if held by another:</b>	Self
<input type="checkbox"/> <b>Held Directly?:</b>	
<b>Held By:</b>	Northwest Mutual
	Note: If the Security is not held directly, list the trustee, corporation, etc.

## LEASES

If you do not have a State lease(s)

## CONTRACTS

If you do not have a State contract(s)

## CONFIDENTIAL ADDENDUM

I choose to retain confidentiality. Note: You must be ready to file all confidential information in this one session.

## CERTIFICATION

- I UNDERSTAND that if I fail to file this statement timely and accurately, I may be subject to a penalty of up to \$10,000.
- I UNDERSTAND that all information I provide on this form shall be a matter of public record and may be disclosed by the Office of State ethics upon request, unless exempt from disclosure by General Statutes 1-83(c) or the Freedom of Information Act, Connecticut General Statutes 1-200 *et. seq* if I chose to retain confidentiality on my addendum.
- I UNDERSTAND that if, by reason of impossibility, I am unable to provide the information required by this form, I may petition the Citizen's Ethics Advisory Board for a waiver.
- I UNDERSTAND that I must file with the Office of State Ethics, a report of the payment or reimbursement of "necessary expenses" for lodging and/or out-of-state travel incurred by me, in my capacity as a public official or state employee, for an article, appearance, speech, or for my participation at an event, unless the payment or reimbursement is provided by the State of Connecticut, the federal government or another state government. I FURTHER UNDERSTAND that if, either intentionally or due to gross negligence, I fail to file such a report within thirty(30) days after receiving the payment or reimbursement, I will be required to return the payment or reimbursement and may be subject to a penalty for up to \$10,000.
- I CERTIFY, UNDER PENALTY OF FALSE STATEMENT, that this Annual Statement of Financial Interests, including the Confidential Addendum, is a complete and accurate statement of financial interests, as defined by Connecticut General Statutes 1-83(b)(1), for myself, my spouse, and dependent residing in my household, during the calendar year 2009

I have read and agree to all above certifications.

**Name:**

John W Hetherington

**Filed Date and Time:**

04/17/2010 5:41 PM