

Statement of Financial Interests for 2009



PERSONAL INFORMATION		
First Name and Middle initial:	Last Name:	
Andrew W	Roraback	
Street Address 1:	Street Address 2:	
455 milton road		
City:	State:	Zip:
goshen	CONNECTICUT	06790
<input type="checkbox"/> If you are claiming an exemption from disclosure for this property under FOIA		
Phone Number:	Email Address:	
(860) 240-8800	andrew.roraback@cga.ct.gov	

OCCUPATION	
<input type="checkbox"/> Current Dual Employment	
Current State Position:	Membership:
Connecticut General Assembly	Senator - Republican
Name of District:	
30	
<input type="checkbox"/> If you have held a different state position or left state service in 2009	
<input type="checkbox"/> Previous Dual Employment	
Previous State Position:	Membership:
Name of Agency:	

SPOUSE

If you do not have a Spouse

Spouse First Name and Initial: Spouse Last Name:

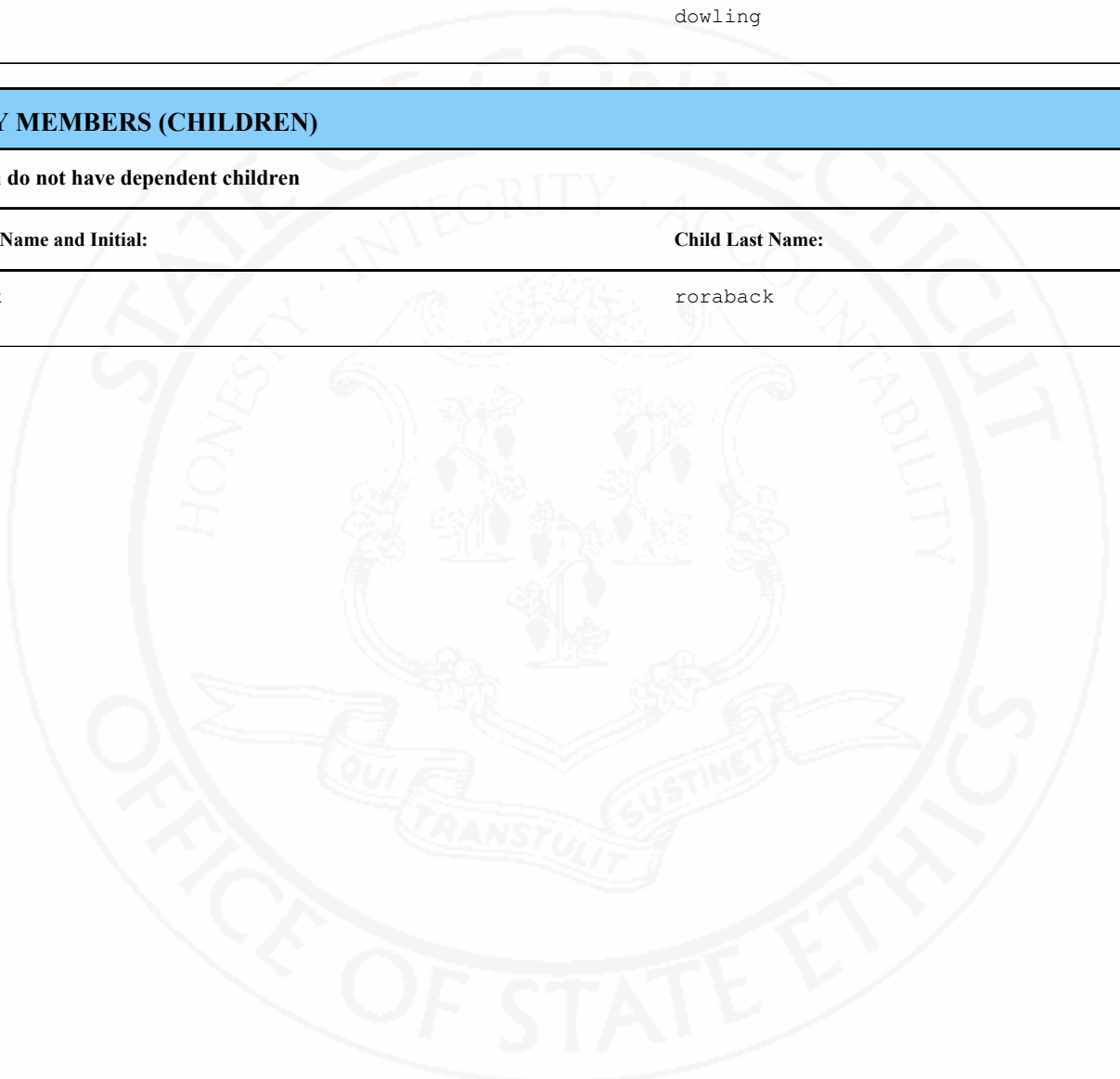
kara l dowling

FAMILY MEMBERS (CHILDREN)

If you do not have dependent children

Child First Name and Initial: Child Last Name:

andrew k roraback



REAL PROPERTY

If you do not own a property

If you are claiming an exemption from disclosure for this property under FOIA

Street Address 1:

Street Address 2:

455 Milton Road

City:

State:

Zip:

Goshen

CONNECTICUT

06756

Country:

Owner or Beneficiary:

self and 3 siblings

Held Directly?:

Held By: Note: If the property is not held directly, please list the corporation, partnership, or trust that holds the property for the benefit of you, your spouse, or dependent children residing with you.

If you are claiming an exemption from disclosure for this property under FOIA

Street Address 1:

Street Address 2:

island woodridge lake

City:

State:

Zip:

goshen

CONNECTICUT

06756

Country:

Owner or Beneficiary:

self and 3 siblings

Held Directly?:

Held By: Note: If the property is not held directly, please list the corporation, partnership, or trust that holds the property for the benefit of you, your spouse, or dependent children residing with you.

If you are claiming an exemption from disclosure for this property under FOIA

Street Address 1:

Street Address 2:

24 Mason Street

City:

State:

Zip:

Torrington

CONNECTICUT

06790

Country:

Owner or Beneficiary:

24 Mason Street ,Inc.

Held Directly?:

Held By: Note: If the property is not held directly, please list the corporation, partnership, or trust that holds the property for the benefit of you, your spouse, or dependent children residing with you.

20% stock interest in corporation

If you are claiming an exemption from disclosure for this property under FOIA

Street Address 1:

Street Address 2:

corner main and prospect

City:

State:

Zip:

torrington

CONNECTICUT

06790

Country:

Owner or Beneficiary:

self and 3 siblings

Held Directly?:

Held By: Note: If the property is not held directly, please list the corporation, partnership, or trust that holds the property for the benefit of you, your spouse, or dependent children residing with you.

If you are claiming an exemption from disclosure for this property under FOIA

Street Address 1:

Street Address 2:

15 acres east side milton road

15 acres west side milton road

City:

State:

Zip:

goshen

CONNECTICUT

06756

Country:

Owner or Beneficiary:

self and 3 siblings

Held Directly?:

Held By: Note: If the property is not held directly, please list the corporation, partnership, or trust that holds the property for the benefit of you, your spouse, or dependent children residing with you.

If you are claiming an exemption from disclosure for this property under FOIA

Street Address 1:

Street Address 2:

wolcott road

City:

State:

Zip:

simsbury and east granby

CONNECTICUT

06070

Country:

Owner or Beneficiary:

kara dowling owns fractional interest

Held Directly?:

Held By: Note: If the property is not held directly, please list the corporation, partnership, or trust that holds the property for the benefit of you, your spouse, or dependent children residing with you.

airport realty associates llc

BUSINESSES**BUSINESS (WITH WHICH ASSOCIATED)** If you are not associated with any business**Name of Business:**

roraback and roraback

Street Address 1:

24 Mason Street

Street Address 2:**City:**

Torrington

State:

CONNECTICUT

Zip:

06790

Nature of Business:

law firm

Nature of Interest:

partner

Interest Held By:

Self

Business Affiliation**Category of Affiliated Business/Individual:**

No affiliation

Name of Business:

torrington water company

Street Address 1:

norfolk road

Street Address 2:**City:**

torrington

State:

CONNECTICUT

Zip:

06790

Nature of Business:

water company

Nature of Interest:

director

Interest Held By:

Self

Business Affiliation

Category of Affiliated Business/Individual:

No affiliation

BUSINESSES

TRUSTS

If you are not part of a trust



INCOME SOURCES

If you do not have a Income Source(s)

Description of Source:

Salary/Wages

Name of Employer or Other Source:

bradley foster and sargent

Recipient:

Spouse

Description of Source:

Rent

Name of Employer or Other Source:

airport realty associates llc

Recipient:

Spouse

Description of Source:

Rent

Name of Employer or Other Source:

24 mason street

Recipient:

Self

Description of Source:

Salary/Wages

Name of Employer or Other Source:

state of connecticut

Recipient:

Self

Description of Source:
Salary/Wages
Name of Employer or Other Source:
roraback and roraback
Recipient:
Self
Description of Source:
Capital Gains
Name of Employer or Other Source:
securities
Recipient:
Joint
Description of Source:
Capital Gains
Name of Employer or Other Source:
securities
Recipient:
Spouse
Description of Source:
Capital Gains
Name of Employer or Other Source:
securities
Recipient:
Self

Description of Source:
Dividends
Name of Employer or Other Source:
securities
Recipient:
Joint
Description of Source:
Dividends
Name of Employer or Other Source:
securities
Recipient:
Spouse
Description of Source:
Dividends
Name of Employer or Other Source:
securities
Recipient:
Self

BLIND TRUST

If you do not have a Blind Trust(s)

SECURITIES

If you had no interest in securities with a fair market value in excess of \$5,000 at any time during the calendar year

Security Name:

torrinton water company

Owner or beneficiary if held by another:

Self

Held Directly?:

Held By:

Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name:

Alpha Associates

Owner or beneficiary if held by another:

Self

Held Directly?:

Held By:

Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name:

Berkshire - Hathaway

Owner or beneficiary if held by another:

Joint

Held Directly?:

Held By:

Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name :
Goldman Sachs
Owner or beneficiary if held by another:
Spouse
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
QUANTA SERVICES
Owner or beneficiary if held by another:
Spouse
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
SEQUENOM INC
Owner or beneficiary if held by another:
Spouse
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
BJ'S WHOLESALE
Owner or beneficiary if held by another:
Spouse
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name :
AFLAC Inc
Owner or beneficiary if held by another:
Spouse
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
XTO ENERGY INC
Owner or beneficiary if held by another:
Spouse
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
Ford
Owner or beneficiary if held by another:
Spouse
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
Alcon Inc
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name :
Becton Dickinson
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
clean harbors inc
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
Coca Cola
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
FPL Group
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name :
Hewlett Packard Co
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
prosperity bankshares
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
roper industries
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
United Technologies
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name :
Wal Mart Stores Inc
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
XTO ENERGY INC
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
Microsoft
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
Coviden PLC
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name :
Mcdonald Corporation
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
Stancorp Financials
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
Ace Limited
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
Monsanto Co
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name:
Occidental Petroleum Corp
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name:
EOG Resources Inc
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name:
Discovery Communications
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name:
T Rowe Price
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name :
Yum Brands Inc.

Owner or beneficiary if held by another:
Joint

Held Directly?:

Held By:

Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name :
meridien bioscience

Owner or beneficiary if held by another:
Joint

Held Directly?:

Held By:

Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name :
imperial oil ltd

Owner or beneficiary if held by another:
Joint

Held Directly?:

Held By:

Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name :
Google Inc

Owner or beneficiary if held by another:
Joint

Held Directly?:

Held By:

Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name:
Gilead Sciences, Inc.
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name:
Costco Wholesale Corp
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name:
hh gregg inc
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name:
Exxon Mobil Corp
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name :

Newfield Exploration Co.

Owner or beneficiary if held by another:

Joint

Held Directly?:

Held By:

Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name :

FreeportMcMoran Copper Inc

Owner or beneficiary if held by another:

Joint

Held Directly?:

Held By:

Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name :

Ishares MSCI Brazil Free Index Fund

Owner or beneficiary if held by another:

Joint

Held Directly?:

Held By:

Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name :

Nestle S A

Owner or beneficiary if held by another:

Joint

Held Directly?:

Held By:

Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name :
Intuitive Surgical
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
Alcon Inc
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
General Mills Inc
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.
Security Name :
spdr gold tr gold shs
Owner or beneficiary if held by another:
Joint
<input checked="" type="checkbox"/> Held Directly?:
Held By:
Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name:
CGM focus fund

Owner or beneficiary if held by another:
Joint

Held Directly?:

Held By:

Note: If the Security is not held directly, list the trustee, corporation, etc.

Security Name:
Spectra Energy Corp

Owner or beneficiary if held by another:
Joint

Held Directly?:

Held By:

Note: If the Security is not held directly, list the trustee, corporation, etc.

LEASES

If you do not have a State lease(s)

CONTRACTS

If you do not have a State contract(s)

CONFIDENTIAL ADDENDUM

If you, your spouse and/or your dependent children owe no debts of more than ten thousand dollars

I choose to waive confidentiality such that my confidential addendum may be disclosed.

I choose to retain confidentiality. Note: You must be ready to file all confidential information in this one session.

Name of Creditor:
torrington savings bank

Street Address 1: 129 main street **Street Address 2:**

City : torrington **State:** CONNECTICUT **Zip:** 06790

CERTIFICATION

- I UNDERSTAND that if I fail to file this statement timely and accurately, I may be subject to a penalty of up to \$10,000.
- I UNDERSTAND that all information I provide on this form shall be a matter of public record and may be disclosed by the Office of State ethics upon request, unless exempt from disclosure by General Statutes 1-83(c) or the Freedom of Information Act, Connecticut General Statutes 1-200 *et. seq* if I chose to retain confidentiality on my addendum.
- I UNDERSTAND that if, by reason of impossibility, I am unable to provide the information required by this form, I may petition the Citizen's Ethics Advisory Board for a waiver.
- I UNDERSTAND that I must file with the Office of State Ethics, a report of the payment or reimbursement of "necessary expenses" for lodging and/or out-of-state travel incurred by me, in my capacity as a public official or state employee, for an article, appearance, speech, or for my participation at an event, unless the payment or reimbursement is provided by the State of Connecticut, the federal government or another state government. I FURTHER UNDERSTAND that if, either intentionally or due to gross negligence, I fail to file such a report within thirty(30) days after receiving the payment or reimbursement, I will be required to return the payment or reimbursement and may be subject to a penalty for up to \$10,000.
- I CERTIFY, UNDER PENALTY OF FALSE STATEMENT, that this Annual Statement of Financial Interests, including the Confidential Addendum, is a complete and accurate statement of financial interests, as defined by Connecticut General Statutes 1-83(b)(1), for myself, my spouse, and dependent residing in my household, during the calendar year 2009

I have read and agree to all above certifications.

Name:

Andrew W Roraback

Filed Date and Time:

04/30/2010 7:16 PM