

# Statement of Financial Interests for 2009



## PERSONAL INFORMATION

**First Name and Initial:**

Nancy S

**Last Name:**

Wyman

**Street Address 1:**

18 Pilgrim Drive

**Street Address 2:**

**City:**

Tolland

**State:**

CONNECTICUT

**Zip:**

06084

If you are claiming an exemption from disclosure for this property under FOIA

**Phone Number:**

(860) 702-3301

**Email Address:**

nancy.wyman@po.state.ct.us

## OCCUPATION

Current Dual Employment

**Current State Position:**

Executive Branch

**Membership:**

Public Agency

**Name of Agency:**

Office of the Comptroller

**Title/Position:**

State Comptroller

If you have held a different state position or left state service in 2009

Previous Dual Employment

**Previous State Position:**

**Membership:**

**Name of Agency:**

**SPOUSE**

If you do not have a Spouse

Spouse First Name and Initial:

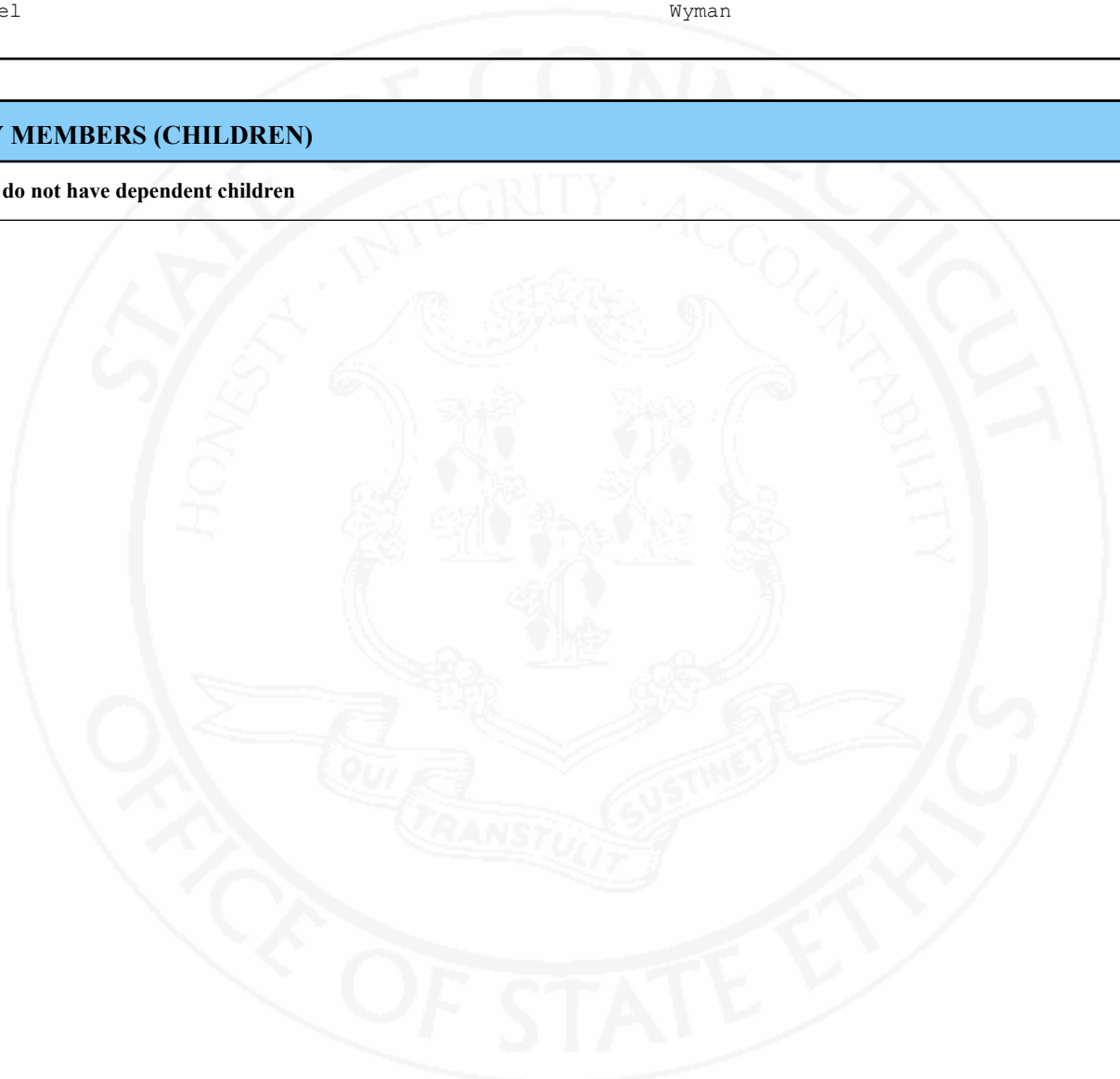
Spouse Last Name:

R. Michael

Wyman

**FAMILY MEMBERS (CHILDREN)**

If you do not have dependent children



**REAL PROPERTY**

If you do not own a property

If you are claiming an exemption from disclosure for this property under FOIA

Street Address 1:

Street Address 2:

18 Pilgrim Drive

City:

State:

Zip:

Tolland

CONNECTICUT

06084

Country:

Owner or Beneficiary:

owner

Held Directly?:

**Held By:** Note: If the property is not held directly, please list the corporation, partnership, or trust that holds the property for the benefit of you, your spouse, or dependent children residing with you.

If you are claiming an exemption from disclosure for this property under FOIA

Street Address 1:

Street Address 2:

18 Pilgrim Drive

City:

State:

Zip:

Tolland

CONNECTICUT

06084

Country:

Owner or Beneficiary:

R. Michael and Nancy Wyman

Held Directly?:

**Held By:** Note: If the property is not held directly, please list the corporation, partnership, or trust that holds the property for the benefit of you, your spouse, or dependent children residing with you.

**BUSINESSES**

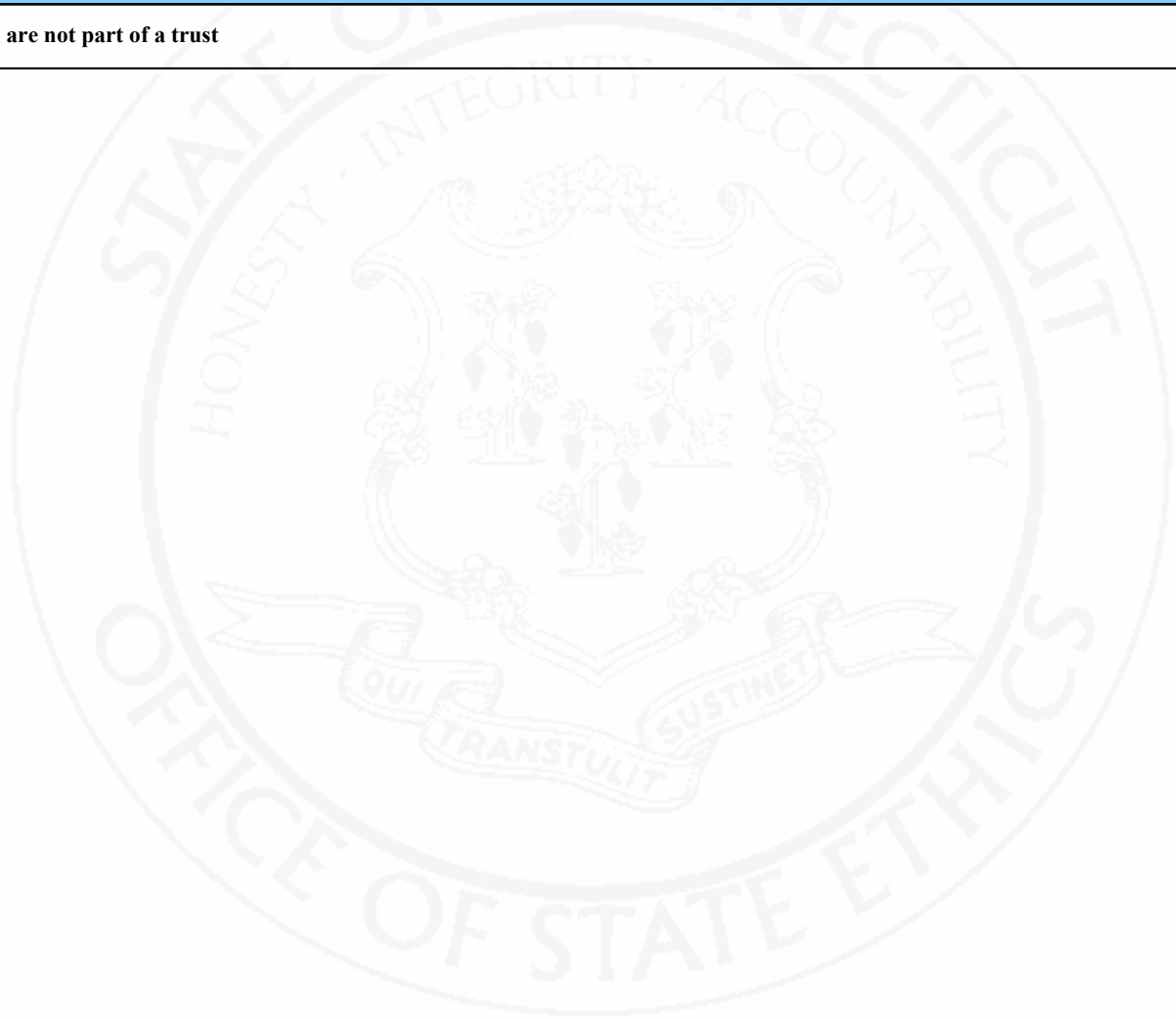
**BUSINESS (WITH WHICH ASSOCIATED)**

If you are not associated with any business

**BUSINESSES**

**TRUSTS**

If you are not part of a trust



## INCOME SOURCES

If you do not have a Income Source(s)

**Description of Source:**

Capital Gains

**Name of Employer or Other Source:**

Fidelity Fund

**Recipient:**

Joint

**Description of Source:**

Capital Gains

**Name of Employer or Other Source:**

Veritas Funds

**Recipient:**

Joint

**Description of Source:**

Dividends

**Name of Employer or Other Source:**

Phoenix

**Recipient:**

Joint

**Description of Source:**

Dividends

**Name of Employer or Other Source:**

Delaware Group

**Recipient:**

Joint

**Description of Source:**

Dividends

**Name of Employer or Other Source:**

John Hancock

**Recipient:**

Spouse

**Description of Source:**

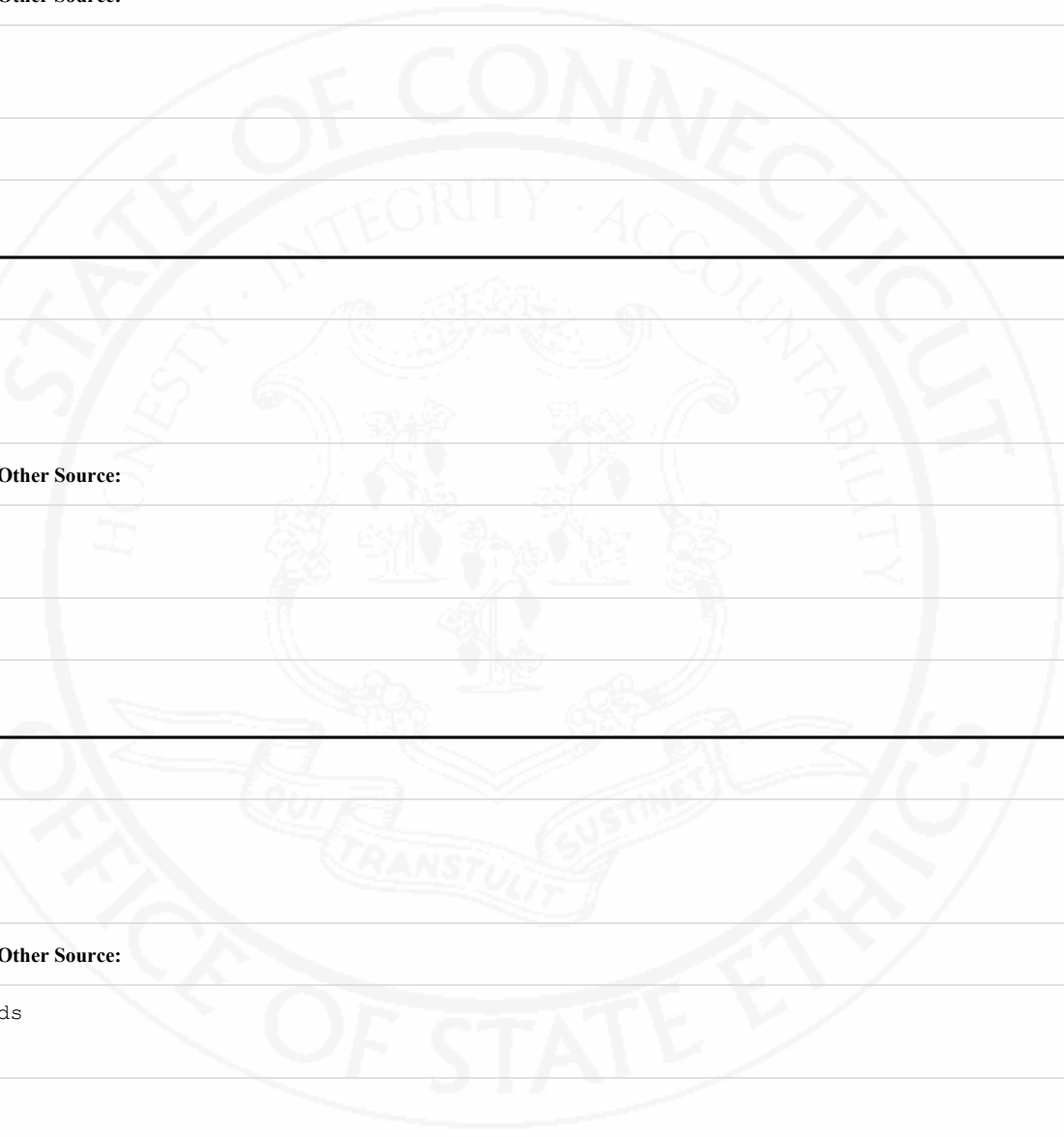
Dividends

**Name of Employer or Other Source:**

Oppenheimer Funds

**Recipient:**

Joint



**Description of Source:**

Dividends

**Name of Employer or Other Source:**

Travelers Companies

**Recipient:**

Self

**Description of Source:**

Pension

**Name of Employer or Other Source:**

Citigroup

**Recipient:**

Spouse

**Description of Source:**

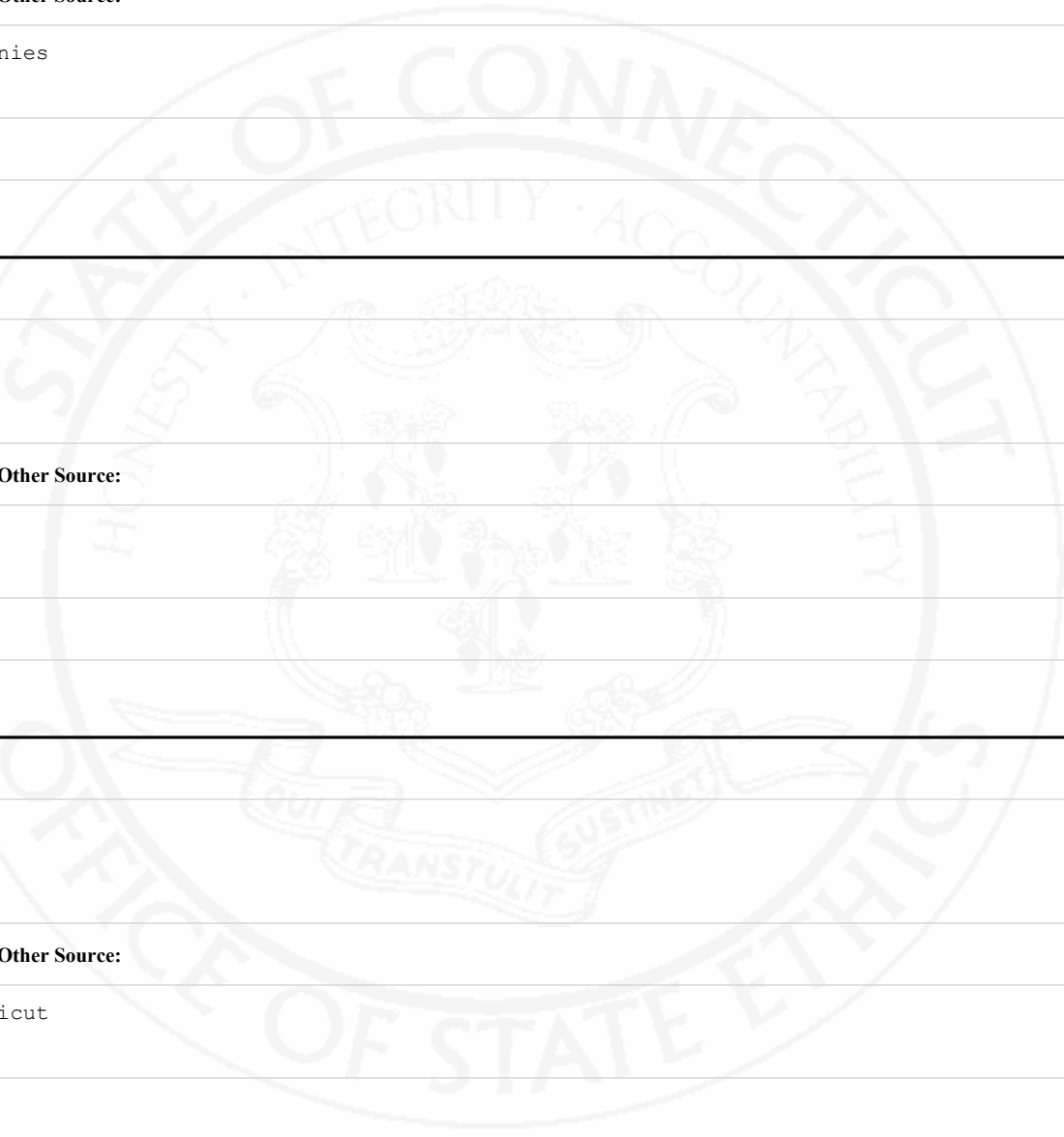
Salary/Wages

**Name of Employer or Other Source:**

State of Connecticut

**Recipient:**

Self



**Description of Source:**

Salary/Wages

**Name of Employer or Other Source:**

Town of Tolland

**Recipient:**

Spouse

**Description of Source:**

Salary/Wages

**Name of Employer or Other Source:**

Bushnell Center for Performing Arts

**Recipient:**

Spouse

**BLIND TRUSTS**

If you do not have a Blind Trust(s)

## SECURITIES

If you had no interest in securities with a fair market value in excess of \$5,000 at any time during the calendar year

**Security Name:**

Oppenheimer Fund

**Owner or beneficiary if held by another:**

Joint

**Held Directly?:**

**Held By:**

Note: If the Security is not held directly, list the trustee, corporation, etc.

**Security Name:**

Phoenix Funds

**Owner or beneficiary if held by another:**

Joint

**Held Directly?:**

**Held By:**

Note: If the Security is not held directly, list the trustee, corporation, etc.

**Security Name:**

Fidelity Funds

**Owner or beneficiary if held by another:**

Joint

**Held Directly?:**

**Held By:**

Note: If the Security is not held directly, list the trustee, corporation, etc.

<b>Security Name :</b>
John Hancock
<b>Owner or beneficiary if held by another:</b>
Spouse
<input checked="" type="checkbox"/> <b>Held Directly?:</b>
<b>Held By:</b>
Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>
Veritas Funds
<b>Owner or beneficiary if held by another:</b>
Joint
<input checked="" type="checkbox"/> <b>Held Directly?:</b>
<b>Held By:</b>
Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>
Delaware Tax-Free Money Fund
<b>Owner or beneficiary if held by another:</b>
Joint
<input checked="" type="checkbox"/> <b>Held Directly?:</b>
<b>Held By:</b>
Note: If the Security is not held directly, list the trustee, corporation, etc.
<b>Security Name :</b>
Citigroup Inc.
<b>Owner or beneficiary if held by another:</b>
Joint
<input checked="" type="checkbox"/> <b>Held Directly?:</b>
<b>Held By:</b>
Note: If the Security is not held directly, list the trustee, corporation, etc.

**Security Name:**  
-BLACKROCK CAP & INC STRAT

**Owner or beneficiary if held by another:**  
Joint

**Held Directly?:**

**Held By:**

Note: If the Security is not held directly, list the trustee, corporation, etc.

**Security Name:**  
IRA PIMCO Advisors Innovation Fund

**Owner or beneficiary if held by another:**  
Spouse

**Held Directly?:**

**Held By:**

Note: If the Security is not held directly, list the trustee, corporation, etc.

**LEASES**

**If you do not have a State lease(s)**

**CONTRACTS**

**If you do not have a State contract(s)**

**CONFIDENTIAL ADDENDUM**

**If you, your spouse and/or your dependent children owe no debts of more than ten thousand dollars**

**I choose to waive confidentiality such that my confidential addendum may be disclosed.**

**I choose to retain confidentiality. Note: You must be ready to file all confidential information in this one session.**

**Name of Creditor:**  
Bank of America

**Street Address 1:** P.O box 26078      **Street Address 2:**

**City :** Greensboro      **State:** NORTH CAROLINA      **Zip:** 27420

## CERTIFICATION

- I UNDERSTAND that if I fail to file this statement timely and accurately, I may be subject to a penalty of up to \$10,000.
- I UNDERSTAND that all information I provide on this form shall be a matter of public record and may be disclosed by the Office of State ethics upon request, unless exempt from disclosure by General Statutes 1-83(c) or the Freedom of Information Act, Connecticut General Statutes 1-200 *et. seq* if I chose to retain confidentiality on my addendum.
- I UNDERSTAND that if, by reason of impossibility, I am unable to provide the information required by this form, I may petition the Citizen's Ethics Advisory Board for a waiver.
- I UNDERSTAND that I must file with the Office of State Ethics, a report of the payment or reimbursement of "necessary expenses" for lodging and/or out-of-state travel incurred by me, in my capacity as a public official or state employee, for an article, appearance, speech, or for my participation at an event, unless the payment or reimbursement is provided by the State of Connecticut, the federal government or another state government. I FURTHER UNDERSTAND that if, either intentionally or due to gross negligence, I fail to file such a report within thirty(30) days after receiving the payment or reimbursement, I will be required to return the payment or reimbursement and may be subject to a penalty for up to \$10,000.
- I CERTIFY, UNDER PENALTY OF FALSE STATEMENT, that this Annual Statement of Financial Interests, including the Confidential Addendum, is a complete and accurate statement of financial interests, as defined by Connecticut General Statutes 1-83(b)(1), for myself, my spouse, and dependent residing in my household, during the calendar year 2009

**I have read and agree to all above certifications.**

<b>Name:</b>	Nancy S Wyman
<b>Filed Date and Time:</b>	04/20/2010 7:34 PM